



**POLICY FOR THE MANAGEMENT OF CONFLICTS OF INTEREST**

This Policy for the Management of Conflicts of Interest has been updated at November 2023 and shall remain effective until a more recent version is released. Finalto (South Africa) Pty Limited (“Finalto SA”) reserves the right to amend or supplement this Document at any time. This Document does not replace our Terms and Conditions The “Agreement”) which we ask that you read carefully before you enter into any trading. The prevailing version of this Document is always available on our website.

**Note:** The English version of this agreement is the governing version and shall prevail whenever there is any discrepancy between the English version and the other versions.

The Markets.com website is operated by Finalto (South Africa) Pty Limited (“Finalto SA”) an investment firm regulated by the Financial Sector Conduct Authority under licence number 46860. Finalto SA is required to provide information on the Company’s policy on conflicts of interest and its approach to managing any conflicts of interest fairly: both between Markets.com our directors, employees and our clients, and between your interests and those of other clients.

# DEFINITION OF CONFLICT OF INTEREST

Finalto SA defines a conflict of interest as any situation where either Finalto SA or an individual is in a position to exploit a professional or official capacity in some way for either corporate or personal benefit.

# CONFLICT OF INTEREST MEASURES

Extensive measures have been adopted by Finalto SA to prevent, control and manage the exchange of information between relevant persons engaged in activities involving a risk of a conflict of interest. For example, Finalto SA has removed any direct link between the remuneration of relevant persons principally engaged with one activity and the remuneration of different relevant persons principally engaged in another activity.

# DISCLOSURE OF CONFLICT OF INTEREST

When the measures taken by Finalto SA to manage conflicts of interest are not sufficient to ensure, with reasonable confidence, that the risk of damage to clients’ interests will be prevented, Finalto SA will disclose the conflicts of interest to the client in order to prevent a risk of damage to clients’ interests. Prior to carrying out a transaction or providing an investment or an ancillary service to a client, the Company must disclose any actual or potential conflict of interest. The disclosure will be in sufficient time, in a durable means.

# RECORD KEEPING

Finalto SA maintains a record, which is regularly updated, of the kinds of investments and ancillary services or investment activities carried out by Finalto SA or on its behalf in which a conflict of interest entailing a material risk of damage to the interests of one or more clients has arisen.

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